

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L16004MH1936PLC008587

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AABCG4768K

(ii) (a) Name of the company

GODFREY PHILLIPS INDIA LIM

(b) Registered office address

'Macropolo Building', Ground Floor, next to Kala
Chowky P.O., Dr. Babasaheb Ambedkar Road, Lalbaug
Mumbai
Maharashtra
400033

(c) *e-mail ID of the company

skgupta-gpi@modi-ent.com

(d) *Telephone number with STD code

01161119418

(e) Website

www.godfreyphillips.co

(iii) Date of Incorporation

03/12/1936

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	The National Stock Exchange of India Ltd.	1,024
2	BSE Limited	1

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) *Financial year From date 01/04/2020 (DD/MM/YYYY) To date 31/03/2021 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted

Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

2

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C1	Food, beverages and tobacco products	71.82
2	G	Trade	G1	Wholesale Trading	14.82

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 10

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	INTERNATIONAL TOBACCO CO	U16000MH1964PLC013915	Subsidiary	100

2	CHASE INVESTMENTS LIMITED	U74899DL1984PLC017448	Subsidiary	100
3	UNIQUE SPACE DEVELOPERS L +	U70100MH1988PLC046854	Subsidiary	66.67
4	FRIENDLY REALITY PROJECTS L +	U74899DL1973PLC006528	Subsidiary	87.99
5	RAJPUTANA INFRASTRUCTURE +	U45200DL2006PLC156832	Subsidiary	87.99
6	GODFREY PHILLIPS MIDDLE EA +		Subsidiary	100
7	IPM INDIA WHOLESALE TRADI +	U51909DL2009PTC189517	Associate	24.8
8	KKM MANAGEMENT CENTRE P +	U74999DL1996PTC080090	Associate	36.75
9	KKM MANAGEMENT CENTRE M +		Associate	36
10	FLAVORS AND MORE INC		Subsidiary	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	122,000,000	51,993,920	51,993,920	51,993,920
Total amount of equity shares (in Rupees)	244,000,000	103,987,840	103,987,840	103,987,840

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	122,000,000	51,993,920	51,993,920	51,993,920
Nominal value per share (in rupees)	2	2	2	2
Total amount of equity shares (in rupees)	244,000,000	103,987,840	103,987,840	103,987,840

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	60,000	0	0	0
Total amount of preference shares (in rupees)	6,000,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares	60,000	0	0	0
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees)	6,000,000	0		

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	51,993,920	103,987,840	103,987,840	
Increase during the year	0	0	0	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0

iv. Others, specify				
At the end of the year	51,993,920	103,987,840	103,987,840	
Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input style="width: 95%;" type="text"/>
---------------------------------------------	------------------------------------------

Date of registration of transfer (Date Month Year)	<input style="width: 95%;" type="text"/>
----------------------------------------------------	------------------------------------------

Type of transfer	<input style="width: 95%;" type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	------------------------------------------	------------------------------------------------------------

Number of Shares/ Debentures/ Units Transferred	<input style="width: 95%;" type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input style="width: 95%;" type="text"/>
----------------------------------------------------	------------------------------------------	----------------------------------------------	------------------------------------------

Ledger Folio of Transferor	<input style="width: 95%;" type="text"/>
----------------------------	------------------------------------------

Transferor's Name	<input style="width: 95%;" type="text"/>	<input style="width: 95%;" type="text"/>	<input style="width: 95%;" type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input style="width: 95%;" type="text"/>
----------------------------	------------------------------------------

Transferee's Name	<input style="width: 95%;" type="text"/>	<input style="width: 95%;" type="text"/>	<input style="width: 95%;" type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input style="width: 95%;" type="text"/>
----------------------------------------------------	------------------------------------------

Type of transfer	<input style="width: 95%;" type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	------------------------------------------	------------------------------------------------------------

Number of Shares/ Debentures/ Units Transferred	<input style="width: 95%;" type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input style="width: 95%;" type="text"/>
----------------------------------------------------	------------------------------------------	----------------------------------------------	------------------------------------------

Ledger Folio of Transferor				<input type="text"/>		
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>			
	Surname	middle name	first name			
Ledger Folio of Transferee				<input type="text"/>		
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>			
	Surname	middle name	first name			

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			752,157,198
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Deposit			0
Total			752,157,198

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

29,257,418,516

(ii) Net worth of the Company

22,344,629,035

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	23,950	0.05	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	36,016,965	69.27	0	

10.	Others Trusts	1,801,380	3.46	0	
	Total	37,842,295	72.78	0	0

Total number of shareholders (promoters)

27

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	6,637,864	12.77	0	
	(ii) Non-resident Indian (NRI)	204,885	0.39	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	24,000	0.05	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	41,260	0.08	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	5,487,793	10.55	0	
7.	Mutual funds	848,330	1.63	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	287,204	0.55	0	
10.	Others Alternate Investment Funds	620,289	1.19	0	
	Total	14,151,625	27.21	0	0

Total number of shareholders (other than promoters)

37,894

**Total number of shareholders (Promoters+Public/
Other than promoters)**

37,921

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

59

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
DIMENSIONAL EMERG +	CITIBANK N.A. CUSTODY SERVICES +			85,898	0.17
EMERGING MARKETS +	JPMORGAN CHASE BANK N.A. IND +			30,082	0.05
EMERGING MARKETS +	DEUTSCHE BANK AG, DB HOUSE H +			7,967	0.01
INTERNATIONAL MON +	CITIBANK N.A. CUSTODY SERVICES +			278	0
LOS ANGELES CITY EM +	CITIBANK N.A. CUSTODY SERVICES +			3,395	0
PUBLIC EMPLOYEES RI +	JPMORGAN CHASE BANK N.A. IND +			3,936	0
STICHTING PENSIOENI +	HSBC SECURITIES SERVICES 11TH F +			3,105	0
DFA INTERNATIONAL +	STANDARD CHARTERED BANK SEC +			3,458	0
DIMENSIONAL EMERG +	CITIBANK N.A. CUSTODY SERVICES +			24	0
MERCER QIF FUND PL +	DEUTSCHE BANK AG, DB HOUSE H +			1,977	0
PUBLIC EMPLOYEES RI +	CITIBANK N.A. CUSTODY SERVICES +			3,212	0
WISDOMTREE EMERGI +	CITIBANK N.A. CUSTODY SERVICES +			68,778	0.13
WORLD EX U.S. CORE I +	CITIBANK N.A. CUSTODY SERVICES +			5,565	0.01
COLLEGE RETIREMENT +	DEUTSCHE BANK AG DB HOUSE, H +			9,104	0.01
T.A. WORLD EX U.S. CC +	CITIBANK N.A. CUSTODY SERVICES +			3,450	0
UTAH STATE RETIREM +	CITIBANK N.A. CUSTODY SERVICES +			803	0
CITY OF LOS ANGELES +	CITIBANK N.A. CUSTODY SERVICES +			2,579	0
DFA INTERNATIONAL +	STANDARD CHARTERED BANK SEC +			1,342	0
EMERGING MARKETS +	JPMORGAN CHASE BANK N.A. IND +			3,728	0
ISHARES INDIA SC MA +	CITIBANK N.A. CUSTODY SERVICES +			22,583	0.04
JOHN HANCOCK FUNI +	CITIBANK N.A. CUSTODY SERVICES +			824	0
JUPITER INDIA FUND +	CITIBANK N.A. CUSTODY SERVICES +			3,548,147	6.82
POLUS GLOBAL FUND +	ICICI BANK LTD SMS DEPT 1ST FLO +			30,000	0.05
WISDOMTREE INDIA IN +	CITIBANK N.A. CUSTODY SERVICES +			23,122	0.04

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
1729 CAPITAL	C/O GFIN CORPORATE SERVICES L			27,510	0.05

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	26	27
Members (other than promoters)	22,345	37,894
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	2	1	2	1	0	0
B. Non-Promoter	1	5	1	6	0	0
(i) Non-Independent	1	1	1	1	0	0
(ii) Independent	0	4	0	5	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	3	6	3	7	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

13

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Rajendra Ambalal Shah	00009851	Director	20,000	
Bina Modi	00048606	Managing Director	3,000	
Lalit Bhasin	00001607	Director	2,000	
Anup Narender Kothari	00294737	Director	6,000	
Samir Modi	00029554	Whole-time director	2,000	
Sharad Aggarwal	07438861	Whole-time director	0	
Atul Kumar Gupta	01734070	Director	0	
Ruchir Kumar Modi	07174133	Director	0	
Nirmala Bagri	01081867	Director	0	
Sumant Bharadwaj	08970744	Additional director	0	
Sunil Agrawal	AACPA5574F	CFO	500	
Sanjay Kumar Gupta	AAAPG1042Q	Company Secretary	500	
Bina Modi	AAPPM4174L	CEO	3,000	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

2

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Sumant Bharadwaj	08970744	Alternate director	13/02/2021	Appointment
Atul Kumar Gupta	01734070	Director	29/09/2020	Reappointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	29/09/2020	32,533	47	47.3

B. BOARD MEETINGS

*Number of meetings held

5

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	30/06/2020	9	9	100
2	22/08/2020	9	8	88.89
3	29/09/2020	9	9	100
4	10/11/2020	9	9	100
5	13/02/2021	9	9	100

C. COMMITTEE MEETINGS

Number of meetings held

11

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	30/06/2020	4	4	100
2	Audit Committee	22/08/2020	4	4	100
3	Audit Committee	29/09/2020	4	4	100
4	Audit Committee	10/11/2020	4	4	100
5	Audit Committee	13/02/2021	4	4	100
6	Stakeholders Forum	20/03/2021	3	3	100
7	Nomination and Remuneration Committee	30/06/2020	4	4	100
8	Nomination and Remuneration Committee	22/08/2020	4	4	100
9	Nomination and Remuneration Committee	10/11/2020	4	4	100
10	Corporate Social Responsibility Committee	30/06/2020	5	5	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	Rajendra Amb	5	5	100	9	9	100	
2	Bina Modi	5	5	100	2	2	100	
3	Lalit Bhasin	5	5	100	11	11	100	
4	Anup Narende	5	5	100	9	9	100	
5	Samir Modi	5	5	100	0	0	0	
6	Sharad Aggar	5	5	100	1	1	100	
7	Atul Kumar Gu	5	5	100	7	7	100	
8	Ruchir Kumar	5	4	80	0	0	0	
9	Nirmala Bagri	5	5	100	4	4	100	
10	Sumant Bhara	0	0	0	0	0	0	

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Bina Modi	Managing Director	0	0	0	0	0
2	Samir Modi	Whole-time Director	23,200,000	139,242,910	0	43,579,760	206,022,670
3	Sharad Aggarwal	Whole-time Director	41,367,440	0	0	3,924,083	45,291,523
	Total		64,567,440	139,242,910	0	47,503,843	251,314,193

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Bina Modi	CEO	0	0	0	0	0
2	Sunil Agrawal	CFO	19,230,563	0	0	4,193,375	23,423,938
3	Sanjay Kumar Gupta	Company Secretary	16,616,819	0	0	1,730,038	18,346,857

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
	Total		35,847,382	0	0	5,923,413	41,770,795

Number of other directors whose remuneration details to be entered

7

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Lalit Bhasin	Independent Dir +	0			1,900,000	1,900,000
2	Anup Narender Kott	Independent Dir +	0			1,700,000	1,700,000
3	Atul Kumar Gupta	Independent Dir +	0			1,500,000	1,500,000
4	Rajendra Ambalal S	Non-Independen +	0			1,400,000	1,400,000
5	Nirmala Bagri	Independent Dir +	0			1,200,000	1,200,000
6	Ruchir kumar Modi	Non-Independen +	0	15,000,000	0	0	15,000,000
7	Sumant Bharadwaj	Independent Dir +	0			100,000	100,000
	Total		0	15,000,000	0	7,800,000	22,800,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow Associate Fellow

Certificate of practice number

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. .. dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

To be digitally signed by

Company Secretary

Company secretary in practice

Membership number

Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachement(s), if any

Attach
Attach
Attach
Attach

List of attachments

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Remove attachment

Modify

Check Form

Prescutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Godfrey Phillips India Limited

Details in respect of shares held by or on behalf of the FIIs/ FPIs as on 31.03.2021

Sr. No	Name Of FII/FPI	Address	No. of Share	% of share holding
1	DIMENSIONAL EMERGING MARKETS VALUE FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	85898	0.1652
2	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	30082	0.0579
3	EMERGING MARKETS VALUE FUND OF DIMENSIONAL FUNDS PLC	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	7967	0.0153
4	INTERNATIONAL MONETARY FUND - MANAGER A	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	278	0.0005
5	LOS ANGELES CITY EMPLOYEES RETIREMENT SYSTEM	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	3395	0.0065
6	PUBLIC EMPLOYEES RETIREMENT SYSTEM OF OHIO	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	3936	0.0076
7	STICHTING PENSIOENFONDS SABIC	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI	3105	0.0060
8	DFA INTERNATIONAL VECTOR EQUITY FUND	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI	3458	0.0067
9	DIMENSIONAL EMERGING CORE EQUITY MARKET ETF OF DIMENSIONAL ETF TRUST	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	24	0.0000
10	MERCER QIF FUND PLC- MERCER INVESTMENT FUND 1	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	1977	0.0038
11	PUBLIC EMPLOYEES RETIREMENT ASSOCIATION OF COLORADO	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	3212	0.0062
12	WISDOMTREE EMERGING MARKETS SMALLCAP DIVIDEND FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	68778	0.1323
13	WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	5565	0.0107
14	COLLEGE RETIREMENT EQUITIES FUND - STOCK ACCOUNT	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	9104	0.0175
15	T.A. WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	3450	0.0066
16	UTAH STATE RETIREMENT SYSTEMS	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	803	0.0015
17	CITY OF LOS ANGELES FIRE AND POLICE PENSION PLAN	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	2579	0.0050
18	DFA INTERNATIONAL CORE EQUITY FUND	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI	1342	0.0026
19	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND B	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	3728	0.0072
20	ISHARES INDIA SC MAURITIUS COMPANY	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	22583	0.0434
21	JOHN HANCOCK FUNDS II EMERGING MARKETS FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	824	0.0016
22	JUPITER INDIA FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	3548147	6.8242
23	POLUS GLOBAL FUND	ICICI BANK LTD SMS DEPT 1ST FLOOR EMPIRE COMPLEX 414 S B MARG LOWER PAREL MUMBAI	30000	0.0577
24	WISDOMTREE INDIA INVESTMENT PORTFOLIO, INC.	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	23122	0.0445
25	1729 CAPITAL	C/O GFIN CORPORATE SERVICES LTD 6TH FLOOR GFIN TOWER 42 HOTEL STREET CYBERCITY EBENE MAURITIUS	27510	0.0529
26	APMS INVESTMENT FUND LIMITED	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25, MAHATMA GANDHI ROAD FORT, MUMBAI	488275	0.9391
27	EMERGING MARKETS CORE EQUITY FUND OF DIMENSIONAL FUNDS ICVC	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	7282	0.0140
28	EMERGING MARKETS CORE EQUITY PORTFOLIO (THE PORTFOLIO) OF DFA INVESTMENT DIMENSIONS	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	119210	0.2293
29	EMERGING MARKETS TARGETED VALUE FUND OF THE DIMENSIONAL FUNDS II PUBLIC LIMITED COMPANY	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	4395	0.0085
30	EMERGING MARKETS TARGETED VALUE PORTFOLIO	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	2269	0.0044
31	ISHARES CORE MSCI EM IMI UCITS ETF	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	30827	0.0593
32	NORTHERN TRUST COLLECTIVE EMERGING MARKETS SMALL CAP INDEX FUND- NON LENDING	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	12181	0.0234
33	ROTHKO EMERGING MARKETS SMALL CAP EQUITY FUND, L.P.	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	6218	0.0120
34	STATE STREET GLOBAL SMALL CAP EQUITY EX-U.S. INDEX NON-LENDING SERIES FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	17273	0.0332
35	CITY OF NEW YORK GROUP TRUST	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	18466	0.0355
36	PUB EQUITIES EMERGING MARKETS 2	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	4251	0.0082

37	ROTHKO EMERGING MARKETS ALL CAP EQUITY FUND, L.P.	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	1338	0.0026
38	SSGA SPDR ETFs EUROPE I PUBLIC LIMITED COMPANY - SPDR MSCI EMERGING MARKETS SMALL CAP UCITS	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	1370	0.0026
39	STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX NON-LENDING COMMON TRUST FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	9427	0.0181
40	VIRGINIA RETIREMENT SYSTEM	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	3652	0.0070
41	WORLD EX U.S. TARGETED VALUE PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	2053	0.0039
42	ALASKA PERMANENT FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	5304	0.0102
43	DFA AUSTRALIA LIMITED AS RESPONSIBLE ENTITY FOR DIMENSIONAL EMERGING MARKETS TRUST	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	1711	0.0033
44	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX NON-LENDABLE FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	40374	0.0777
45	ISHARES MSCI EM SMALL CAP UCITS ETF	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	3692	0.0071
46	NORTHERN TRUST COMMON ALL COUNTRY WORLD EX-US INVESTABLE MARKET INDEX FUND-NON	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	368	0.0007
47	OREGON PUBLIC EMPLOYEES RETIREMENT SYSTEM MANAGED THROUGH DIMENSIONAL FUND ADVISORS	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	643	0.0012
48	PREMIER INVESTMENT FUND LIMITED	C/O KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG.NO.21, 6TH FLR ZONE IV,CUSTODY SERVS, INFINITY PARK GEN. AK VAIDYA MARG, MALAD E, MUMBAI	465	0.0009
49	PUBLIC EMPLOYEES RETIREMENT ASSOCIATION OF NEW MEXICO	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI	961	0.0018
50	SA EMERGING MARKETS VALUE FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	794	0.0015
51	SOCIETE GENERALE	SBI SG GLOBAL SECURITIES SERVICES PL JEEVAN SEVA ANNEXE BUILDING, A WING GR FLOOR, S V ROAD SANTACRUZ WEST, MUMBAI	300	0.0006
52	UPS GROUP TRUST	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	10457	0.0201
53	ASPIRE EMERGING FUND	ICICI BANK LTD SMS DEPT 1ST FLOOR EMPIRE COMPLEX S B MARG LOWER PAREL MUMBAI MAHARASHTRA	48	0.0001
54	DIMENSIONAL FUNDS PLC - MULTI-FACTOR EQUITY FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	1063	0.0020
55	FLORIDA RETIREMENT SYSTEM - AQR CAPITAL MANAGEMENT, LLC	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	9804	0.0189
56	JUPITER SOUTH ASIA INVESTMENT COMPANY LIMITED - SOUTH ASIA ACCESS FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	713152	1.3716
57	MORGAN STANLEY ASIA (SINGAPORE) PTE.	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	2386	0.0046
58	SPDR S AND P EMERGING MARKETS SMALL CAP ETF	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	11755	0.0226
59	THE EMERGING MARKETS SMALL CAP SERIES OF THE DFA INVESTMENT TRUST COMPANY	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	65162	0.1253

TRANSFER DATE	TYPE	SH/DB	AMTPERSH	FOLIO	SELLER NAME	FOLIO	BUYER NAME
06/07/2020	1	250	000002	00D00669	KRISHNA KUMAR DUBE	0G500004	GULAB DEVI DUBEY
12/10/2020	1	500	000002	00R01821	NUTAN ROHATGI	0N500010	NUTAN RASTOGI
09/11/2020	1	570	000002	00C10209	CYRUS ERUCH TALATI	0C500003	CYRUS ERUCH TALATI
13/11/2020	1	20	000002	00A01419	BIMAL KUMAR AGARWAL	IEPF2017	IEPF2017
13/11/2020	1	1000	000002	00C00551	SUDARSHAN KUMAR CHAW	IEPF2017	IEPF2017
13/11/2020	1	625	000002	00P01294	RODA SORAB PANTHAKI	IEPF2017	IEPF2017
13/11/2020	1	60	000002	00P01354	SHOBHA ARVIND PATHAK	IEPF2017	IEPF2017
13/11/2020	1	40	000002	00R01510	RAMABEN ARVINDBABU T	IEPF2017	IEPF2017
13/11/2020	1	20	000002	00R01550	RATTANBAI FRAMROZE W	IEPF2017	IEPF2017
13/11/2020	1	30	000002	00S01254	KANTA SEHGAL	IEPF2017	IEPF2017
13/11/2020	1	560	000002	00D01007	RADHA DEVI	IEPF2017	IEPF2017
13/11/2020	1	70	000002	00D01879	DURGESHWARI SHYAM MI	IEPF2017	IEPF2017
13/11/2020	1	560	000002	00D02262	KOMBALAM DEVARAMAN	IEPF2017	IEPF2017
13/11/2020	1	500	000002	00D02381	SHRINIVAS DESHPANDE	IEPF2017	IEPF2017
13/11/2020	1	500	000002	00K02310	K.R. KRISHNASWAMY,	IEPF2017	IEPF2017
13/11/2020	1	140	000002	00K02396	KANTILAL P MODY	IEPF2017	IEPF2017
13/11/2020	1	500	000002	00K02506	KAJARIA EXPORTS PVT	IEPF2017	IEPF2017
13/11/2020	1	140	000002	00K02576	RESHMA AMARLAL KHATR	IEPF2017	IEPF2017
13/11/2020	1	1000	000002	00L00570	VIJAY LALWANI	IEPF2017	IEPF2017
13/11/2020	1	1000	000002	00M01006	NAND KISHORE MONGA	IEPF2017	IEPF2017
13/11/2020	1	1140	000002	00M02865	PRANLAL MAHASUKHBHAI	IEPF2017	IEPF2017
13/11/2020	1	840	000002	00T00395	RAMA ARVIND BABU TRI	IEPF2017	IEPF2017
13/11/2020	1	15	000002	00Z00049	ZARIN ADI PASTAKIA	IEPF2017	IEPF2017
30/11/2020	1	855	000002	00R10422	ROSHAN ARDESHIR BHAR	0A500021	ASPY SOHRAB GOTLA
30/11/2020	1	80	000002	00G00771	RAMCHAND G GURNANI	0A500022	ANIL GURNANI
30/11/2020	1	60	000002	00G00974	SUMTRA R GURNANI	0A500022	ANIL GURNANI
07/12/2020	1	1140	000002	00K01910	K S SHANTHILAL	0S500026	S MAHAVEERCHAND
21/12/2020	1	560	000002	0G500001	GODFREY PHILLIPS IND	0C500004	CHAMPKALAL CHHOTUBHA
21/12/2020	1	1000	000002	0G500001	GODFREY PHILLIPS IND	0M500010	MRUDULA CHAMPKALAL K
21/12/2020	1	200	000002	00S04755	SHAMSUDDIN SHAIKH	0S500027	SHAMSUDDIN SHAIKH
21/12/2020	1	140	000002	0G500001	GODFREY PHILLIPS IND	0S500028	SIPRA SEAL
04/01/2021	1	60	000002	00S10071	SHOBHANA BHARATKUMAR	0B500010	BHARATKUMAR BAPALAL
04/01/2021	1	125	000002	00R00737	U B RAMANATH KUMAR	0M500011	MUKTHA RAMANATH KUMA
04/01/2021	1	140	000002	0S500028	SIPRA SEAL	0S500029	SOU MENDRA LALL SEAL
04/01/2021	1	1000	000002	0M500010	MRUDULA CHAMPKALAL K	0S500030	SHARADCHANDRA C KAPA
04/01/2021	1	560	000002	0C500004	CHAMPKALAL CHHOTUBHA	0S500030	SHARADCHANDRA C KAPA
22/01/2021	1	500	000002	00V00597	PRAVINCHANDRA VANILA	0M500012	MANJULABEN PRAVINCHA
22/01/2021	1	285	000002	00V01100	INJU KANAYALAL VAZIR	0V500009	VARSHA JAIDEEP VAZIR
08/02/2021	1	2000	000002	00S03372	BAILUR SHIVRAM SHETT	0B500011	B SHALINI S SHETTY
08/02/2021	1	560	000002	00R00780	V SRI RAM	0V500010	VIJAYA RAJGURU

Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have examined the registers, records and books and papers of M/s Godfrey Phillips India Limited (herein after referred to as "**the Company**") as required to be maintained under the Companies Act, 2013 (herein after referred to as "**the Act**") and the rules made there under for the financial year ended on March 31, 2021 ("Period"). In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the company, its officers and agents, we certify that:

- A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year, the Company has complied with provisions of the Act & Rules made there under in respect of:
 1. its status under the Act;
 2. maintenance of registers/ records & making entries therein within the time prescribed therefore;
 3. filing of forms and returns ~~as stated in the annual return~~, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within and beyond the prescribed time;

During the Period under review, the Company was not required to file any forms and returns to the Regional Director, Central Government, Tribunal, Court or other authorities except to Investor Education and Protection Fund Authority.

4. calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/ registers maintained for the purpose and the same have been signed;

During the Period under review, the Company has not passed any resolution by postal ballot.

5. Closure of Register of Members/ Security holders, as the case may be.

During the period, the Company does not have any other security holder and as such no register is maintained for such security holders.

**RUPESH
AGARWAL**

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RUPESH AGARWAL
Date: 2021.06.21 16:55:27
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6. advances/ loans to its directors and/ or persons or firms or companies referred in section 185 of the Act;

During the Period under review, the Company has not made advances/ loans to its directors and/ or persons or firms or companies referred in Section 185 of the Act.

7. contracts/ arrangements with related parties as specified in section 188 of the Act;

During the Period under review, the Company has entered into transactions with related parties pursuant to Section 2(76) read with Section 188 of the Companies Act, 2013, in the ordinary course of business and at arm's length basis, therefore, provisions of Section 188 of the Act are not attracted

8. issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances;

During the Period under review, the Company has not made any

- issue or allotment or buy back of securities;
- redemption of preference shares or debentures;
- alteration or reduction of share capital;
- conversion of shares/ securities.

9. keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act.

During the Period under review, the Company has not kept in abeyance the rights to dividend, right shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act.

10. declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;

During the Period under review, the Company was not required to transfer any amount, as applicable, except unpaid/ unclaimed dividend to Investor Education and Protection Fund in accordance with Section 125 of the Act and the same has been deposited.

11. signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), ~~(4)~~ and (5) thereof;

12. constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;

During the period under the review, there was no retirement / filing up of casual vacancies of the Directors. Further, there was no appointment/ re-appointment / retirement / filing up of casual vacancies of Key Managerial Personnel.

13. appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act;

During the Period under review, the Company has not made any appointment/ re-appointment or filling up casual vacancies of auditors as per the provisions of section 139 of the Act.

14. approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;

During the Period under review, the Company was not required to take any approvals from the Central Government, Tribunal, Regional Director, Court or such other authorities under the various provisions of the Act.

15. acceptance/ renewal/ repayment of deposits;

During the Period under review, the Company has not made any acceptance/ renewal/ repayment of deposits.

16. borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;

During the Period under review, the Company has not made any borrowings from its directors, members, public financial institutions, banks and others and was not required to create/modify/satisfy any charge in that respect.

17. loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act ;

During the Period under review, the Company has not made any loans or given any guarantee or provided any securities to other bodies corporate or persons falling under the provisions of section 186 of the Act.

18. alteration of the provisions of the Memorandum and/ or Articles of Association of the Company;

During the Period under review, the Company has not made any alteration to the provision of the Memorandum and Articles of Association of the Company

Mr. Ruchir Kumar Modi, a Non-Executive & Non-Independent Director of the Company, has written various letters to the regulatory authorities such as the Securities and Exchange Board of India, The Ministry of Corporate Affairs and the Stock Exchanges, alleging certain irregularities by the Company and its officials, and seeking investigation into the affairs of the Company. On being asked to respond to such letters by these authorities, the Company has submitted detailed replies against all points raised by Mr. Modi in letters. Thereafter, the Company has not received any further communication from these regulatory authorities except that the Regional Director, Western Region, Ministry of Corporate Affairs has informed the Company vide its letter dated 22nd March, 2021 that the Central Government has ordered inspection of the books of accounts and

other books and papers of the Company in terms of section 206(5) of the Companies Act, 2013.

For **Chandrasekaran Associates**
Company Secretaries

RUPESH
AGARWAL

Digitally signed by
RUPESH AGARWAL
Date: 2021.06.21
16:56:35 +05'30'

Rupesh Agarwal
Managing Partner
Membership No. 16302
Certificate of Practice No. 5673
UDIN: A016302C000492571

Date: 21.06.2021

Place: Delhi

Note:- Due to restricted movement amid COVID-19 pandemic, we are issuing this report after examining the Secretarial Records including Minutes, Documents, Registers and other records etc., and some of them received by way of electronic mode from the Company and could not be verified from the original records. The management has confirmed that the records submitted to us are true and correct. We are not commenting on the Statutory Compliances whose due dates are extended by Regulators from time to time due to COVID- 19 or still there is time line to comply with such compliances.